

## **Section 1115 Demonstration Application**

### **Amendment # 18**

#### **Dental Benefits for Adults with Disabilities or Blindness**

##### **Background**

During the 2016 General Session of the Utah State Legislature, Senate Bill 39- “Medicaid Coverage for Adult Dental Services”, was passed. This bill directed the Utah Department of Health (UDOH) to seek a waiver to provide dental benefits to adults with disabilities or blindness age 18 and older. UDOH is requesting approval of this amendment no later than May 1, 2017, to provide dental benefits to this group of individuals.

Dental benefits for this group will be authorized through this waiver. All other services for this group will continue to be provided through other existing authorities.

##### **Delivery System**

Dental benefits will be provided initially through fee for service, and will use the same payment rates used for dental services provided under the state plan. Dental benefits may be provided through managed dental plans in the future; however, this will require an amendment to Utah’s 1915(b) Dental Choices waiver.

##### **Benefits Provided**

Dental benefits provided under this amendment will be the same as the state plan benefits provided to pregnant women.

##### **Population**

The population eligible for dental benefits under this amendment will include individuals with disabilities or blindness aged 18 and older. The state anticipates approximately 34,500 individuals statewide will receive benefits.

##### **Providers**

Dental services will be provided through enrolled Medicaid dental providers.

II. WAIVER COSTS AND VARIANCE FROM BUDGET NEUTRALITY LIMIT BY QUARTER (Federal Funds)

A	B	C	D	E	F	G	H	I	K	L
EXPENDITURES (Federal Funds)										
BUDGET NEUTRALITY LIMIT (FF)	Current Eligibles	Demo Population I - PCN		Demo Population II	Demo Population III - HIFA		Disability Dental		TOTAL	VARIANCE
		Adults w/ Children	Childless Adults		Adults w/ Children	Childless Adults				
	(Sections 1925 and 1931 Adults and Medically Needy Adults)	(Section 1902(r)(2) Adults; also Known as Hypothetical State Plan Eligibles	(PCN Adults in Demo Population I = 1115)	(High-Risk Pregnant Women = 1115)	(Section 1902(r)(2) Adults; also Known as Hypothetical State Plan Eligibles	(HIFA Adults in Demo Population III = 1115 Expansion Group)	Disabled and Blind individuals ages >=18			
State Fiscal Year (SFY)- Demonstration Year (DY)										
SFY15-DY13	\$235,389,242	\$121,818,957	\$3,680,770	\$9,038,162	\$17,994	\$340,914	\$10,048		\$134,906,845	\$100,482,397
QE 9/14		\$28,420,265	\$757,709	\$2,117,542	\$13,523	\$58,794	\$2,315		\$31,370,148	
QE 12/14		\$32,199,953	\$1,035,542	\$2,615,201	\$4,226	\$73,983	\$2,295		\$35,931,200	
QE 3/15		\$28,594,171	\$1,153,257	\$2,481,997	\$185	\$100,649	\$2,499		\$32,332,758	
QE 6/15		\$32,089,293	\$750,034	\$1,866,826	\$60	\$110,888	\$2,939		\$34,820,040	
SFY16-DY14	\$250,807,428	\$120,144,539	\$2,711,921	\$5,891,357	\$21	\$435,525	\$9,707		\$129,193,069	\$121,614,359
QE 9/15		\$30,564,749	\$637,704	\$1,630,248	\$21	\$115,928	\$2,811		\$32,951,461	
QE 12/15		\$29,217,735	\$472,101	\$517,878	\$0	\$124,637	\$1,957		\$30,334,308	
QE 3/16		\$30,181,028	\$801,058	\$1,871,615	\$0	\$97,480	\$2,469		\$32,953,650	
QE 6/16		\$30,181,028	\$801,058	\$1,871,615	\$0	\$97,480	\$2,469		\$32,953,650	
SFY17-DY15	\$258,238,860	\$126,151,766	\$2,847,517	\$6,185,925	\$0	\$457,301	\$10,192	\$909,383	\$136,562,084	\$121,676,777
QE 9/16		\$31,537,942	\$711,879	\$1,546,481	\$0	\$114,325	\$2,548		\$33,913,175	
QE 12/16		\$31,537,942	\$711,879	\$1,546,481	\$0	\$114,325	\$2,548		\$33,913,175	
QE 3/17		\$31,537,942	\$711,879	\$1,546,481	\$0	\$114,325	\$2,548		\$33,913,175	
QE 6/17		\$31,537,942	\$711,879	\$1,546,481	\$0	\$114,325	\$2,548	\$909,383	\$34,822,558	
SFY18-DY16	\$273,746,570	\$132,459,355	\$2,989,893	\$6,495,221	\$0	\$480,166	\$10,702	\$5,456,300	\$147,891,635	\$125,854,935
QE 9/17		\$33,114,839	\$747,473	\$1,623,805	\$0	\$120,041	\$2,675	\$1,364,075	\$36,972,909	
QE 12/17		\$33,114,839	\$747,473	\$1,623,805	\$0	\$120,041	\$2,675	\$1,364,075	\$36,972,909	
QE 3/18		\$33,114,839	\$747,473	\$1,623,805	\$0	\$120,041	\$2,675	\$1,364,075	\$36,972,909	
QE 6/18		\$33,114,839	\$747,473	\$1,623,805	\$0	\$120,041	\$2,675	\$1,364,075	\$36,972,909	
SFY19-DY17	\$287,433,899	\$139,082,322	\$3,139,387	\$6,819,982	\$0	\$504,174	\$11,237	\$5,729,115	\$155,286,217	\$132,147,682
QE 9/18		\$34,770,581	\$784,847	\$1,704,995	\$0	\$126,044	\$2,809	\$1,432,279	\$38,821,554	
QE 12/18		\$34,770,581	\$784,847	\$1,704,995	\$0	\$126,044	\$2,809	\$1,432,279	\$38,821,554	
QE 3/19		\$34,770,581	\$784,847	\$1,704,995	\$0	\$126,044	\$2,809	\$1,432,279	\$38,821,554	
QE 6/19		\$34,770,581	\$784,847	\$1,704,995	\$0	\$126,044	\$2,809	\$1,432,279	\$38,821,554	
SFY20-DY18	\$150,902,797	\$73,018,219	\$1,648,178	\$3,580,490	\$0	\$264,691	\$5,899	\$3,007,785	\$81,525,264	\$69,377,533
QE 9/19		\$36,509,110	\$824,089	\$1,790,245	\$0	\$132,346	\$2,950	\$1,503,893	\$40,762,632	
QE 12/19		\$36,509,110	\$824,089	\$1,790,245	\$0	\$132,346	\$2,950	\$1,503,893	\$40,762,632	
End of Waiver Extension										
										Cummulative savings in federal funds at the end of waiver extension 12/31/2019
TOTAL BUDGET NEUTRALITY LIMIT SFY 2003- 2020	\$3,031,615,881								\$1,960,170,041	\$1,071,445,839

Legend

- Estimated Figures
- Neutrality Limit without waiver ceiling
- Actual Expenditures from MBES/CBES reports including prior period adjustments
- Actual Quarterly Expenditures from MBES/CBES reports with no prior period adjustments

III. SUMMARY BY DEMONSTRATION YEAR AND CUMULATIVELY (Federal Funds)

A	B	C	D	E	F	G	H	I
Demonstration Year (DY)	Budget Neutrality Limit	Waiver Costs on CMS-64	Annual Variance	Variance As % of Annual BN Limit	Cumulative Budget Neutrality Limit	Cumulative Waiver Costs on CMS-64	Cumulative Variance	Variance As % of Cumulative BN Limit
DY #1 (SFY 2003)	\$64,151,353	\$68,519,660	-\$4,368,307	-6.81%	\$64,151,353	\$68,519,660	-\$4,368,307	-6.81%
DY #2 (SFY 2004)	\$80,491,312	\$77,006,658	\$3,484,654	4.33%	\$144,642,666	\$145,526,318	-\$883,652	-0.61%
DY #3 (SFY 2005)	\$94,403,172	\$90,341,017	\$4,062,155	4.30%	\$239,045,838	\$235,867,335	\$3,178,503	1.33%
DY #4 (SFY 2006)	\$97,638,785	\$87,381,267	\$10,257,518	10.51%	\$336,684,622	\$323,248,602	\$13,436,020	3.99%
DY #5 (SFY 2007)	\$86,958,239	\$85,043,219	\$1,915,020	2.20%	\$423,642,861	\$408,291,821	\$15,351,040	3.62%
DY #6 (SFY 2008)	\$86,915,636	\$83,042,595	\$3,873,041	4.46%	\$510,558,497	\$491,334,416	\$19,224,081	3.77%
DY #7 (SFY 2009)	\$107,710,583	\$98,019,023	\$9,691,560	9.00%	\$618,269,080	\$589,353,439	\$28,915,641	4.68%
DY #8 (SFY 2010)	\$136,144,532	\$118,491,450	\$17,653,082	12.97%	\$754,413,612	\$707,844,889	\$46,568,723	6.17%
DY #9 (SFY 2011)	\$165,352,483	\$113,971,006	\$51,381,477	31.07%	\$919,766,095	\$821,815,895	\$97,950,200	10.65%
DY #10 (SFY 2012)	\$204,481,176	\$103,683,923	\$100,797,253	49.29%	\$1,124,247,271	\$925,499,818	\$198,747,453	17.68%
DY #11 (SFY 2013)	\$219,132,390	\$122,343,993	\$96,788,397	44.17%	\$1,343,379,661	\$1,047,843,811	\$295,535,850	22.00%
DY #12 (SFY 2014)	\$231,717,424	\$126,961,116	\$104,756,308	45.21%	\$1,575,097,084	\$1,174,804,927	\$400,292,157	25.41%
DY #13 (SFY 2015)	\$235,389,242	\$134,906,845	\$100,482,397	42.69%	\$1,810,486,326	\$1,309,711,772	\$500,774,554	27.66%
DY #14 (SFY 2016)	\$250,807,428	\$129,193,069	\$121,614,359	48.49%	\$2,061,293,754	\$1,438,904,841	\$622,388,913	30.19%
DY #15 (SFY 2017)	\$258,238,860	\$136,562,084	\$121,676,777	47.12%	\$2,319,532,615	\$1,575,466,925	\$744,065,690	32.08%
DY #16 (SFY 2018)	\$273,746,570	\$147,891,635	\$125,854,935	45.97%	\$2,593,279,185	\$1,723,358,560	\$869,920,625	33.55%
DY #17 (SFY 2019)	\$287,433,899	\$155,286,217	\$132,147,682	45.97%	\$2,880,713,084	\$1,878,644,777	\$1,002,068,307	34.79%
DY #18 (SFY 2020)								35.34%
Only includes 2 qtrs since waiver extension ends 12/31/2019	\$150,902,797	\$81,525,264	\$69,377,533	45.97%	\$3,031,615,881	\$1,960,170,041	\$1,071,445,839	

Legend

	= Estimated Figures
	= Neutrality Limit without waiver ceiling QTD Amount
	= Actual Expenditures 1115 Waivers QTD Amount

**I. CALCULATION OF BUDGET NEUTRALITY LIMIT (Without Waiver Ceiling)**

A	C	D	E	F	G	H	I	J	K	L
Member Months								Budget Neutrality Limit DY 13	Effective FMAP	Budget Neutrality Limit DY 13
SFY15-DY13								(TF)	(Blended for SFY)	(FF)
	PM/PM	QE 9/14	QE 12/14	QE 3/15	QE 6/15	Total				
							Total Funds			Federal Funds
Current Eligibles	1.05	\$853.46	95,284	91,358	93,841	97,315	377,798	\$322,434,073	70.50%	\$227,316,021
1902(r)(2) - PCN	1.05	\$118.46	20,080	26,504	24,108	17,915	88,607	\$10,496,261	70.50%	\$7,399,864
1902(r)(2) - HIFA	1.05	\$241.86	670	851	1,176	1,252	3,949	\$955,116	70.50%	\$673,357
Total BN Limit								\$333,885,450	70.50%	\$235,389,242
Member Months								Budget Neutrality Limit DY 14	Effective FMAP	Budget Neutrality Limit DY 14
SFY16-DY14								(TF)	(Blended for SFY)	(FF)
	PM/PM	QE 9/15	QE 12/15	QE 3/16	QE 6/16	Total				
							Total Funds			Federal Funds
Current Eligibles	1.05	\$896.13	98,561	97,701	94,450	94,450	385,161	\$345,153,975	70.32%	\$242,712,275
1902(r)(2) - PCN	1.05	\$124.38	17,145	21,301	22,152	22,152	82,750	\$10,292,509	70.32%	\$7,237,692
1902(r)(2) - HIFA	1.05	\$253.96	1,358	1,469	987	987	4,802	\$1,219,369	70.32%	\$857,461
Total BN Limit								\$356,665,853	70.32%	\$250,807,428
Member Months								Budget Neutrality Limit DY 15	Effective FMAP	Budget Neutrality Limit DY 15
SFY17-DY15								(TF)	(Blended for SFY)	(FF)
	PM/PM	QE 9/16	QE 12/16	QE 3/17	QE 6/17	Total				
							Total Funds			Federal Funds
Current Eligibles	1.05	\$940.94	94,450	94,450	94,450	94,450	377,798	\$355,483,565	69.98%	\$248,767,399
1902(r)(2) - PCN	1.05	\$130.60	22,152	22,152	22,152	22,152	88,607	\$11,572,127	69.98%	\$8,098,175
1902(r)(2) - HIFA	1.05	\$266.65	987	987	987	987	3,949	\$1,053,016	69.98%	\$736,900
Diability Dental	1.05	\$13.23	Disability Dental Extension Begins-->			68,727	68,727	\$909,383	69.98%	\$636,386
Total BN Limit								\$369,018,092	69.98%	\$258,238,860
Member Months								Budget Neutrality Limit DY 16	Effective FMAP	Budget Neutrality Limit DY 16
SFY18-DY16								(TF)	(Blended for SFY)	(FF)
	PM/PM	QE 9/17	QE 12/17	QE 3/18	QE 6/18	Total				
							Total Funds			Federal Funds
Current Eligibles	1.05	\$987.98	94,450	94,450	94,450	94,450	377,798	\$373,257,743	69.79%	\$260,496,579
1902(r)(2) - PCN	1.05	\$137.13	22,152	22,152	22,152	22,152	88,607	\$12,150,734	69.79%	\$8,479,997
1902(r)(2) - HIFA	1.05	\$279.99	987	987	987	987	3,949	\$1,105,666	69.79%	\$771,645
Diability Dental	1.05	\$13.89	103,090	103,090	103,090	103,090	412,361	\$5,729,115	69.79%	\$3,998,349
Total BN Limit								\$392,243,259	69.79%	\$273,746,570
Member Months								Budget Neutrality Limit DY 17	Effective FMAP	Budget Neutrality Limit DY 17
SFY19-DY17								(TF)	(Blended for SFY)	(FF)
	PM/PM	QE 9/18	QE 12/18	QE 3/19	QE 6/19	Total				
							Total Funds			Federal Funds
Current Eligibles	1.05	\$1,037.38	94,450	94,450	94,450	94,450	377,798	\$391,920,631	69.79%	\$273,521,408
1902(r)(2) - PCN	1.05	\$143.99	22,152	22,152	22,152	22,152	88,607	\$12,758,271	69.79%	\$8,903,997
1902(r)(2) - HIFA	1.05	\$293.99	987	987	987	987	3,949	\$1,160,950	69.79%	\$810,227
Diability Dental	1.05	\$14.59	103,090	103,090	103,090	103,090	412,361	\$6,015,571	69.79%	\$4,198,267
Total BN Limit								\$411,855,422	69.79%	\$287,433,899
Member Months								Budget Neutrality Limit DY 18	Effective FMAP	Budget Neutrality Limit DY 18
SFY20-DY18								(TF)	(Blended for SFY)	(FF)
	PM/PM	QE 9/19	QE 12/19			Total				
							Total Funds			Federal Funds
Current Eligibles	1.05	\$1,089.25	94,450	94,450	<--End of Waiver Extension		188,899	\$205,758,331	69.79%	\$143,598,739
1902(r)(2) - PCN	1.05	\$151.19	22,152	22,152			44,304	\$6,698,092	69.79%	\$4,674,598
1902(r)(2) - HIFA	1.05	\$308.69	987	987			1,975	\$609,499	69.79%	\$425,369
Diability Dental	1.05	\$15.32	103,090	103,090			206,180	\$3,158,175	69.79%	\$2,204,090
Total BN Limit								\$216,224,096	69.79%	\$150,902,797

= Neutrality Limit without waiver ceiling  
 = Actual Member Months  
 = Estimated Member Months

Additional Population "Disability Dental" as of 5/1/17							
Source: G:\Legislative and GOMB Items\2017 General Session\Building Blocks\Restoration of Adult Dental\2016-09-22 - Restoration of Adult Dental Updated DO NOT DISTRIBUTE.xlsx							
Recipients	County	SFY2018	Net Expenditure Estimate (Includes regular, emergency and pent-up demand expenditures)	Qtrly Expenditure		Estimate for SFY2017, May 1, 2017 implementation (2 months)	
Blind/Disabled	Salt Lake County	171,501					
Blind/Disabled	Other	240,860					
		412,361	\$5,456,300	\$1,364,075		\$909,383	
		PMPM	\$13.23				
	Estimate of eligible members per month	34,363					